



U.S. Department of Justice
Financial Statement of Debtor
(Submitted for Government Action on
Claims Due the United States)

NOTE: Use additional sheets where space on this form
is insufficient or continue on back of last page.

FINANCIAL STATEMENT OF DEBTOR

Authority for the solicitation of the requested information is one or more of the following: 5 U.S.C. 301, 901 (see Note, Executive Order 6166, June 10, 1933); 28 U.S.C. 501, *et seq.*; 31 U.S.C. 951, *et seq.*; 44 U.S.C. 3101; 4 CFR 101, *et seq.*; 28 CFR 0.160, 0.171 and Appendix to Subpart Y. Fed.R.Civ.P. 33(a), 28 U.S.C. 1651, 3201 *et seq.*

The principal purpose for gathering this information is to evaluate your ability to pay the Government's claim or judgment against you. Routine uses of the information are established in the following U.S. Department of Justice Case File Systems published in Vol. 42 of the Federal Register; Justice/CIV-001 at page 5332; Justice/TAX-001 at page 15347; Justice/USA-005 at pages 53406-53407; Justice/USA-007 at pages 53408-53410; Justice/CRIM-016 at page 12274. Disclosure of the information is voluntary. If the requested information is not furnished, the U.S. Department of Justice has the right to such disclosure of the information by legal methods.

Section 1

Personal
Information

1. Full Name(s) _____	1a. Home Telephone: (____) _____ Best Time to Call _____ a.m. _____ p.m.
Street Address _____	1b. Cellular Number: (____) _____
City _____ State _____ Zip _____	2. Marital Status:
County of Residence _____	☐ Married ☐ Separated
How long at this residence? _____	☐ Unmarried (single, divorced, widowed)
3. Your Social Security No. (SSN) _____	3a. Your Date of Birth (mm/dd/yy) _____
4. Spouse's Social Security No. _____	4a. Spouse's Date of Birth (mm/dd/yy) _____
5. ☐ Own Home ☐ Rent ☐ Other (specify, i.e. share rent, live with relative) _____	

6. List the dependants you can claim on your tax return: (Attach sheet if more space is needed)

First Name	Relationship	Age	Does this person live with you?	First Name	Relationship	Age	Does this person live with you?
_____	_____	_____	☐ No ☐ Yes	_____	_____	_____	☐ No ☐ Yes
_____	_____	_____	☐ No ☐ Yes	_____	_____	_____	☐ No ☐ Yes

Section 2

Your
Business
Information

7. Are you or your spouse self-employed or operate a business? (Check "Yes" if either applies)
☐ No ☐ Yes If yes, provide the following information:

7a. Name of Business _____	7c. Employer Identification No: _____
7b. Street Address _____	7d. Do you have employees? ☐ No ☐ Yes
City _____ State _____ Zip _____	7e. Do you have accounts receivable? ☐ No ☐ Yes

If yes, please complete section 8 on page 5.

ATTACHMENTS REQUIRED: Please provide proof of self-employment income for the **prior 3 months**
(e.g. invoices, commissions, sales records, income statement).

Section 3

Employment
Information

8. Your employer _____	9. Spouse's Employer _____
Street Address _____	Street Address _____
City _____ State _____ Zip _____	City _____ State _____ Zip _____
Work telephone no. (____) _____	Work telephone no. (____) _____
May we contact you at work? ☐ No ☐ Yes	May we contact you at work? ☐ No ☐ Yes
8a. How long with this employer? _____	9a. How long with this employer? _____
8b. Occupation _____	9b. Occupation _____

ATTACHMENTS REQUIRED: Please provide proof of gross earnings and deductions **for the past 3 months from each employer** (e.g. pay stubs, earnings statements). If year-to-date information is available, send only 1 such statement as long as a **minimum of 3 months** is represented.

Section 4Other
Income
Information

10. Do you receive income from sources other than your own business or your employer? (Check all that apply.)

☐ Pension ☐ Social Security ☐ Other (specify, e.g. child support, alimony, rental) _____**ATTACHMENTS REQUIRED:** Please provide proof of pension/social security/other income for the past 3 months from each payor, including any statements showing deductions. If year-to-date information is available, send only 1 statement as long as **3 months** is represented.**Section 5**Banking,
Investment,
Cash, Credit
and Life
Insurance Information11. **CHECKING ACCOUNTS.** List all checking accounts. (If you need additional space, attach a separate sheet.)

	Type of Account	Full name of Bank, Credit Union or Institution	Bank Account No.	Current Account Balance
11a.	Checking	Name _____ Address _____ City/State/Zip _____	_____	\$ _____

11b.	Checking	Name _____ Address _____ City/State/Zip _____	_____	\$ _____
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11c.	Total Checking Accounts Balances			\$ _____
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12. **OTHER ACCOUNTS.** List all accounts, including brokerage, savings and money market, not listed in 11.

	Type of Account	Full name of Bank, Credit Union or Institution	Bank Account No.	Current Account Balance
12a.	_____	Name _____ Address _____ City/State/Zip _____	_____	\$ _____

12b.	_____	Name _____ Address _____ City/State/Zip _____	_____	\$ _____
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12c.	Total Other Account Balances			\$ _____
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**ATTACHMENTS REQUIRED:** Please include your current bank statements (checking, savings, money market and brokerage accounts) for the past **3 months** for **all** accounts.13. **INVESTMENTS.** List all investment assets below. Include stocks, bonds, mutual funds, stock options, certificates of deposits and retirement assets such as IRAs, Keogh and 401(k) plans.

	Name of Company	Number of Shares/Units	Current Value	Loan Amount (if any)	Used as collateral on loan?
13a.	_____	_____	\$ _____	\$ _____	☐ No ☐ Yes
13b.	_____	_____	\$ _____	\$ _____	☐ No ☐ Yes
13c.	_____	_____	\$ _____	\$ _____	☐ No ☐ Yes

13d. **Total Investments** \$ _____14. **CASH ON HAND.** Include any money that you have that is not in the bank.14a. **Total Cash on Hand** \$ _____

Section 5
continued

15. AVAILABLE CREDIT. List all lines of credit, including credit cards. (If you need additional space, attach a separate sheet.)

	<u>Full Name of Credit Institution</u>	<u>Credit Limit</u>	<u>Amount Owed</u>	<u>Minimum Payment</u>
15a.	Name _____ Address _____ City/State/Zip _____	_____	_____	\$ _____
15b.	Name _____ Address _____ City/State/Zip _____	_____	_____	\$ _____

15c. Total Minimum Payments \$ _____

16. LIFE INSURANCE. Do you have life insurance with a cash value? ☐ No ☐ Yes
(Term Life Insurance does not have a cash value.)

16a. Name of Insurance Company _____

16b. Policy Number(s) _____

16c. Owner of Policy _____

16d. Current Cash Value \$ _____ 16e. Outstanding Loan Balance \$ _____

Subtract "Outstanding Loan Balance: line 16e from "Current Cash Value" line 16d = 16f \$ _____



ATTACHMENTS REQUIRED: Please include a statement from the life insurance companies that includes type and cash/loan value amounts. If currently borrowed against, include loan amount and date of loan.

Section 6
Other

17. OTHER INFORMATION. Respond to the following questions related to your financial condition:
(Attach a separate sheet if you need more space.) Information

17a. Do you have a safe deposit box? ☐ No ☐ Yes

If yes, please include the name and address of location of box, the box number and the contents below:

17b. Do you have a will? ☐ No ☐ Yes; if yes, where is it kept? _____

17c. Are there any garnishments against your wages? ☐ No ☐ Yes

If yes, who is the creditor? _____ Date of Judgment _____ Amount of debt \$ _____

17d. Are there any judgments against you? ☐ No ☐ Yes

If yes, who is the creditor? _____ Date of Judgment _____ Amount of debt \$ _____

17e. Are you a party to a lawsuit? ☐ No ☐ Yes

If yes, amount of suit \$ _____ Possible completion date _____ Court _____

Subject matter of suit _____

17f. Did you ever file bankruptcy? ☐ No ☐ Yes

If yes, date filed _____ Date discharged _____

17g. In the past 10 years did you transfer any assets out of your name for less than their actual value?

☐ No ☐ Yes

If yes, what asset? _____ Value of asset at time of transfer \$ _____

When was it transferred? _____ To whom was it transferred? _____

17h. Do you anticipate any increase in household income in the next 2 years? ☐ No ☐ Yes

If yes, why will the income increase? _____ (Attach sheet if you need more space.)

How much will it increase? _____

17i. Are you a beneficiary of a trust or an estate? ☐ No ☐ Yes

If yes, name of the trust or estate _____ Anticipated amount to be received \$ _____

When will the amount be received? _____

17j. Are you a participant in a profit sharing plan? ☐ No ☐ Yes

If yes, name of plan _____ Value in plan \$ _____

Section 7Assets and
Liabilities**18. PURCHASED AUTOMOBILES, TRUCKS AND OTHER LICENSED ASSETS.** Include boats, RV's, motorcycles, trailers, etc. (If you need additional space, attach a separate sheet.)

	Description (year, make, model)	*Current Value	Current Loan Balance	Name of Lender	Purchase Date	Monthly Payment
*Current Value is the amount you could sell the asset for today	18a. _____ _____ _____	\$ _____	\$ _____	_____	_____	\$ _____
	18b. _____ _____ _____	\$ _____	\$ _____	_____	_____	\$ _____

LEASED AUTOMOBILES, TRUCKS AND OTHER LICENSED ASSETS. Include boats, RV's, motorcycles, trailers, etc. (If you need additional space, attach a separate sheet.)

	Description (year, make, model)	Lease Balance	Name and Address of Lessor	Lease Date	Monthly Payment
	18c. _____ _____ _____	\$ _____	_____	_____	\$ _____
	18d. _____ _____ _____	\$ _____	_____	_____	\$ _____

**ATTACHMENTS REQUIRED:** Please include your current statement from lender with monthly car payment and current balance of the loan for each vehicle purchased or leased.**20. REAL ESTATE.** List all real estate you own. (If you need additional space, attach a separate sheet.)

Street Address, City State, Zip, County Lender/Lien Holder	Date Purchased	Purchase Price	*Current Value	Loan Balance	Monthly Pymt
20a. _____ _____ _____	_____	\$ _____	\$ _____	\$ _____	\$ _____
20b. _____ _____ _____	_____	\$ _____	\$ _____	\$ _____	\$ _____

21. PERSONAL ASSETS. List all personal assets below. (If you need additional space, attach a separate sheet.)*Furniture/Personal effects* includes the total current market value of your household such as furniture and appliances*Other Personal Assets* includes all artwork, jewelry, collections, antiques or other assets

	Description	Current Value	Loan Balance	Lender	Monthly Payment	Date of Final Pymt
21a.	Furniture/Personal Effects Other: (List below)	\$ _____	\$ _____	_____	\$ _____	_____
21b.	Artwork	\$ _____	\$ _____	_____	\$ _____	_____
21c.	Jewelry	\$ _____	\$ _____	_____	\$ _____	_____
21d.	_____	\$ _____	\$ _____	_____	\$ _____	_____
21e.	_____	\$ _____	\$ _____	_____	\$ _____	_____

Section 7

continued

22. BUSINESS ASSETS. List all business assets and encumbrances below, include Uniform Commercial Code filings. (If you need additional space, attach a separate sheet.) *Tools used in Trade or Business* includes the basic tools or books used to conduct your business, excluding automobiles. *Other Business Assets* includes machinery, equipment, inventory or other assets.

	<u>Description</u>	<u>Current Value</u>	<u>Loan Balance</u>	<u>Lender</u>	<u>Monthly Payment</u>	<u>Date of Final Pymt</u>
22a.	Tools used in Trade/ Business	\$ _____	\$ _____	_____	\$ _____	_____
	Other: (List below)					
22b.	Machinery	\$ _____	\$ _____	_____	\$ _____	_____
22c.	Equipment	\$ _____	\$ _____	_____	\$ _____	_____
22d.	_____	\$ _____	\$ _____	_____	\$ _____	_____
22e.	_____	\$ _____	\$ _____	_____	\$ _____	_____

Section 8Accounts/
Notes
Receivable*Use only if
needed*

23. ACCOUNTS/NOTES RECEIVABLE. List all accounts separately, including contracts awarded, but not started. (If you need additional space, attach a separate sheet.)

	<u>Description</u>	<u>Amount Due</u>	<u>Date Due</u>	<u>Age of Account</u>
23a.	Name _____ Address _____ City/State/Zip _____	\$ _____	_____	☐ 0-30 days ☐ 30-60 days ☐ 60-90 days ☐ 90+ days
23b.	Name _____ Address _____ City/State/Zip _____	\$ _____	_____	☐ 0-30 days ☐ 30-60 days ☐ 60-90 days ☐ 90+ days
23c.	Name _____ Address _____ City/State/Zip _____	\$ _____	_____	☐ 0-30 days ☐ 30-60 days ☐ 60-90 days ☐ 90+ days
23d.	Name _____ Address _____ City/State/Zip _____	\$ _____	_____	☐ 0-30 days ☐ 30-60 days ☐ 60-90 days ☐ 90+ days
23e.	Name _____ Address _____ City/State/Zip _____	\$ _____	_____	☐ 0-30 days ☐ 30-60 days ☐ 60-90 days ☐ 90+ days
23f.	Name _____ Address _____ City/State/Zip _____	\$ _____	_____	☐ 0-30 days ☐ 30-60 days ☐ 60-90 days ☐ 90+ days

Add "Amount Due" from lines 23a through 23f = 23g \$ _____

Section 9

Monthly
Income and
Expense
Analysis

**If only one
spouse has
a debt, but
both have
income, list
the total
household
income and
expenses.**

Total Income

<u>Source</u>	<u>Gross monthly</u>
24. Wages (yourself)	\$ _____
25. Wages (spouse)	_____
26. Interest - Dividends	_____
27. Net Business Income	_____
28. Net Rental Income	_____
29. Pension/Social Security	_____
30. Pension/Social Security (Spouse)	_____
31. Child Support	_____
32. Alimony	_____
33. Other	_____
34. Total Income	\$ _____

Total Living Expenses

<u>Expense Items¹</u>	<u>Actual Monthly</u>
35. Rent/Mortgage	\$ _____
36. Electric	_____
37. Natural Gas	_____
38. Cable TV	_____
39. Telephone	_____
40. Water	_____
41. Food	_____
42. Car Payment	_____
43. Gasoline	_____
44. Car Insurance	_____
45. Cell Phone/Pager	_____
46. Other Utilities	_____
47. Clothing & Misc.	_____
48. Health Care	_____
49. Court Ordered Payments	_____
50. Child/Dependant Care	_____
51. Life Insurance	_____
52. Other secured debt	_____
53. Other expenses	_____
54. Education Expenses	_____
55. Total Living Expenses	\$ _____

**ATTACHMENTS REQUIRED:** Please include;

- A copy of your last Form 1040 with all Schedules
- Proof of all current expenses that you paid for the last 3 months, including utilities, rent, insurance, property taxes, etc.
- Proof of all non-business transportation expenses (e.g car payments, lease payments, fuel, oil, insurance, parking, registration)
- Proof of payments for health care, including health insurance premiums, co-payments and other out-of-pocket expenses
- Copies of any court order requiring payment and proof of such payments for the past 3 months

CERTIFICATION

I declare that I have examined the information given in this statement and, to the best of my knowledge and belief, it is true, correct, and complete, and I further declare that I have no assets, owned either directly or indirectly, or income of any nature other than as shown in this statement, including any attachment.

Signature _____

Social Security No. _____

Date _____

WARNING

False statements are punishable up to five years imprisonment, a fine of \$250,000, or both pursuant to 18 U.S.C. §1001.

¹Expenses generally not allowed: We generally do not allow you to claim tuition for private schools, public or private college expenses, charitable donations, voluntary retirement contributions, payments on unsecured debts such as credit card bills and other similar expenses. However, we may allow these expenses, if you can prove that they are necessary for the health and welfare of you or your family.